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IFW/S



HSX/001 Con. 5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Timothy M. Keiser et al.
Application No. : 10/655,409 Confirmation No.: 6575
Filed : September 4, 2003
For : COMPUTER-IMPLEMENTED SECURITIES
TRADING SYSTEM WITH A VIRTUAL
SPECIALIST FUNCTION

Art Unit : 3628
Examiner : Clement B. Graham

New York, New York 10020
June 15, 2006

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Isatta B. Smith

Enclosed:

- Reply to Office Action including Substitute Specification in Appendices A and B;
- Transmittal Letter (in duplicate); and
- Return Receipt Postcard.



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REPLY TO OFFICE ACTION

Sir:

In response to the Office Action mailed February 15, 2006, applicants request reconsideration in view of the following amendments and remarks:

Amendments to the Specification begin on page 2 of this paper and are reflected in the substitute specification found in Appendices A (marked-up version) and B (clean version).

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 18 of this Reply.